

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: North Carolina

CASE MANAGEMENT SERVICES
INDIVIDUALS WITH A DEVELOPMENTAL DISABILITY

A. Target Group: Individuals with a developmental disability who meet the criteria in Attachment 1.

B. Areas of State in which services will be provided:

☒ Entire State.

☐ Only in the following geographic areas (authority of section 1915(g)(1) of the Act is invoked to provide services less than Statewide:

C. Comparability of Services

☐ Services are provided in accordance with section 1902(a)(10)(B) of the Act.

☒ Services are not comparable in amount, duration, and scope. Authority of section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of section 1902(a)(10)(B) of the Act.

D. Definition of Services: Services are defined in Attachment 1.

E. Qualification of Providers: Qualifications of providers are described in Attachment 1.

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E. Qualification of Providers

Targeted Case Management Services for persons described in A. "Target Group" are under the jurisdiction of the state Division of Mental Health, Developmental Disabilities, and Substance Abuse Services (DMH/DD/SAS) or the state Division of Public Health (DPH).

1. Enrolled providers under the jurisdiction of DMH shall meet the qualifications in (a), (b) and (c).

- (a) Must have qualified case managers. Case Managers are professionals whose education, skills, abilities, and experience enable them to perform the activities that comprise Medicaid case management services. Qualified case managers shall meet the qualifications in (i) or (ii).

- (i) Be a qualified Developmental Disabilities Professional (QDDP) as defined below;

An individual holding at least a baccalaureate degree in a discipline related to developmental disabilities and at least two years of supervised habilitative experience by a QDDP in working with the mentally retarded or otherwise developmentally disabled or hold a baccalaureate degree in a field other than one related to developmental disabilities and have three years of supervised experience in working with the mentally retarded or otherwise developmentally disabled; or,

- (ii) Be supervised by a qualified developmental disabilities professional and meet the following education and experience requirements:

- (1) Have at least a baccalaureate degree in a field other than one related to developmental disabilities with a QDDP signed off on case management work; or,
- (2) For children under 18, a licensed registered nurse must have two years experience in nursing, which includes working with children and families.

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- (b) Must meet applicable state and federal laws governing the participation of providers in the Medicaid program.
- (c) Must be certified by the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services as a qualified case management provider.

Enrollment is open to all providers who can meet these requirements. An agreement will be made with the Division of Mental Health, Developmental Disabilities, Substance Abuse Services, which has the recognized professional expertise and authority to establish standards that govern case management services for them. The Division of Mental Health, Developmental Disabilities, and Substance Abuse Services will certify that providers are qualified to render case management services in accordance with state standards or other professionally recognized standards for good care. The purpose of this activity is to help assure that case management services are provided by professionally qualified providers in accordance with Section 1902(a)(23) of the Act.

The Division of Mental Health, Developmental Disabilities, Substance Abuse Services through an MOU with the Division of Medical Assistance will implement methods and procedures to certify all providers for case management to persons described in A. "Targeted Group" who can demonstrate:

- (i) Their capacity to provide case management services.
- (ii) Their experience with delivery and/or coordination of services for persons described in A. "Target Group".
- (iii) Their Capacity to assure quality.
- (iv) Their experience in sound financial management and record keeping.

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2. Enrolled providers under the jurisdiction of DHHS shall meet the qualifications in (a), (b), and (c).

- (a) Must have qualified case manager(s).

Case Manager Qualifications:

- (i) MS in a Human Service Area that includes Social Work, Sociology, Special Education, Child Development, Psychology or Nursing. As applicable, licensed.
 - (ii) BS in Human Service Area that includes the above disciplines. Licensed as applicable and 2 years experience in working with children and their families.
 - (iii) licensed RN with 2 years experience in working with children and their families.
- (b) Must meet applicable state and federal laws governing the participation of providers in the Medicaid program.

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- (c) Must be certified by the Division of Public Health as a qualified case management provider.

Enrollment is open to all providers who can meet these requirements. An agreement will be made with the Division of Public Health, which has the recognized professional expertise and authority to establish standards that govern case management services for them. The Division of Public Health will certify that providers are qualified to render case management services in accordance with state standards or other professionally recognized standards for good care. The purpose of this activity is to help assure that case management services are provided by professionally qualified providers in accordance with Section 1902(a)(23) of the Act.

The Division of Public Health through an MOU with the Division of Medical Assistance will implement methods and procedures to certify all providers for case management to persons described in A. "Target Group" who can demonstrate:

- (i) Their capacity to provide case management services.
- (ii) Their experience with delivery and/or coordination of services for persons described in A. "Target Group".
- (iii) Their capacity to assure quality.

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F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.

1. Eligible recipients will have free choice of the providers of case management services.
2. Eligible recipients will have free choice of the providers of other medical care under the plan.

G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

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ATTACHMENT 1 TO SUPPLEMENT 1
OF ATTACHMENT 3.1-A
PART E

Case Management Services
Individuals with Developmental Disabilities

A. Target Group

Individuals with developmental disabilities for whom Medicaid case management services may be provided must meet the following criteria:

1. Children less than three years of age who are at risk for developmental delay/disability or social-emotional disorder.
2. Children less than five years of age who have a diagnosed developmental delay or social-emotional disorder.
3. Individuals who have a diagnosed developmental disability (including head trauma) regardless of age.
4. Case management under this proposal will not be provided to home and community-based waiver participants nor institutionalized persons.

D. Definition of Services

Case management services include:

1. Assessment and periodic reassessment to determine types and amounts of services needed;
2. Development and implementation of an individualized case management service plan with the client;
3. Consistent with SSA 1902(a)(23), coordination and assignment of responsibilities among staff and service agencies; and
4. Monitoring and follow-up to ensure that services are received and are adequate for the client's needs.

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ATTACHMENT 1 (Con't)
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E. Qualification of Providers

Targeted Case Management Services for persons described in A. "Target Group" are under the jurisdiction of the state Division of Mental Health, Mental Retardation, Substance Abuse Services (DMH/MR/SAS) or the state Division of Health Services (DHS).

1. Enrolled providers under the jurisdiction of DMH shall meet the qualifications in (a), (b) and (c).

- (a) Must have qualified case managers. Case Managers are professionals whose education, skills, abilities, and experience enable them to perform the activities that comprise Medicaid case management services. Qualified case managers shall meet the qualifications in (i) or (ii).

- (i) Be a qualified Developmental Disabilities Professional (QDDP) as defined below:

An individual holding at least a baccalaureate degree in a discipline related to developmental disabilities and at least two years of supervised habilitative experience by a QDDP in working with the mentally retarded or otherwise developmentally disabled or hold a baccalaureate degree in a field other than one related to developmental disabilities and have three years of supervised experience in working with the mentally retarded or otherwise developmentally disabled; or,

- (ii) Be supervised by a qualified developmental disabilities professional and meet the following education and experience requirements:

- (1) Have at least a baccalaureate degree in a field other than one related to developmental disabilities with a QDDP signing off on case management work; or,
 - (2) For children under 18, a licensed registered nurse must have two years experience in nursing, which includes working with children and families.

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- (b) Must meet applicable state and federal laws governing the participation of providers in the Medicaid program.
- (c) Must be certified by the Division of Mental Health, Mental Retardation, Substance Abuse Services as a qualified case management provider.

Enrollment is open to all providers who can meet these requirements. An agreement will be made with the Division of Mental Health, Mental Retardation, Substance Abuse Services, which has the recognized professional expertise and authority to establish standards that govern case management services for them. The Division of Mental Health, Mental Retardation, Substance Abuse Services will certify that providers are qualified to render case management services in accordance with state standards or other professionally recognized standards for good care. The purpose of this activity is to help assure that case management services are provided by professionally qualified providers in accordance with Section 1902(a)(23) of the Act.

The Division of Mental Health, Mental Retardation, Substance Abuse Services through an MOU with the Division of Medical Assistance will implement methods and procedures to certify all providers for case management to persons described in A. "Target Group" who can demonstrate:

- (i) Their capacity to provide case management services.
- (ii) Their experience with delivery and/or coordination of services for persons described in A. "Target Group".
- (iii) Their capacity to assure quality.
- (iv) Their experience in sound financial management and record keeping.

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2. Enrolled providers under the jurisdiction of DHS shall meet the qualifications in (a), (b), and (c).

(a) Must have qualified case manager(s).

Case Manager Qualifications:

- (i) MS in a Human Service Area that includes Social Work, Sociology, Special Education, Child Development, Psychology or Nursing. As applicable, licensed.
 - (ii) BS in Human Service Area that includes the above disciplines. Licensed as applicable and 2 years experience in working with children and their families.
 - (iii) licensed RN with 2 years experience in working with children and their families.
- (b) Must meet applicable state and federal laws governing the participation of providers in the Medicaid program.

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